

هيئة الأوراق المالية والسلع
SECURITIES & COMMODITIES AUTHORITY



Securities & Commodities Authority (SCA)



Federal Authority | هيئة اتحادية

January 2025

www.sca.gov.ae



Overview of Financial Markets

The Financial Regulatory System in the UAE



Onshore Federal
Financial
Regulator

Off shore Free
Zone Financial
Regulator

Off shore Free
Zone Financial
Regulator

The SCA's Jurisdiction

MARKETS

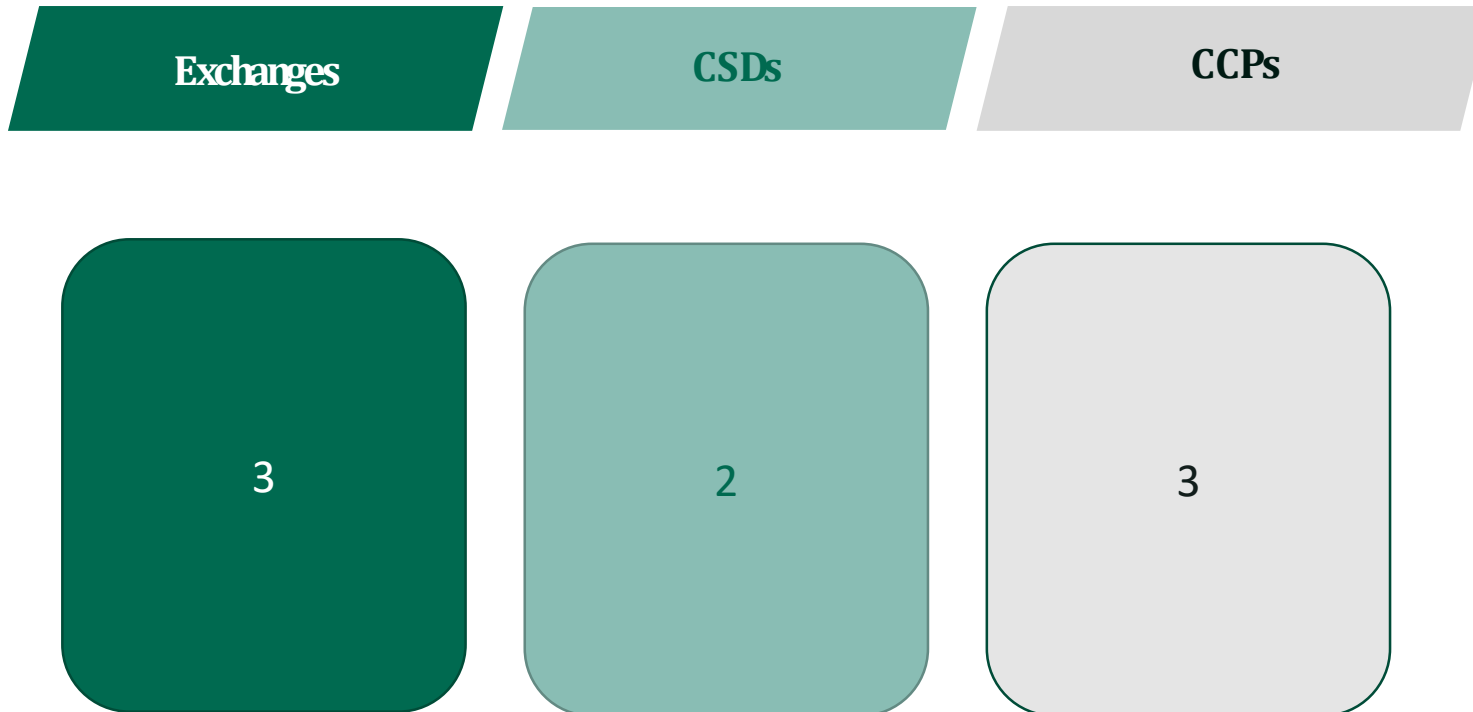
- Equity Market.
- Debt Market.
- Commodities Market.
- VAs Market

OTHERS

- Non-Bank financial activities.
- Authorized Market Institution.
- Collective Investment Scheme.
- VASPs.
- FinTech



Authorized Market Institutions



Regulated Exchanges

Abu Dhabi Stock Exchange (ADX)

- Equity instrument
- Debt instruments
- Derivatives (Futures)
- ETFs

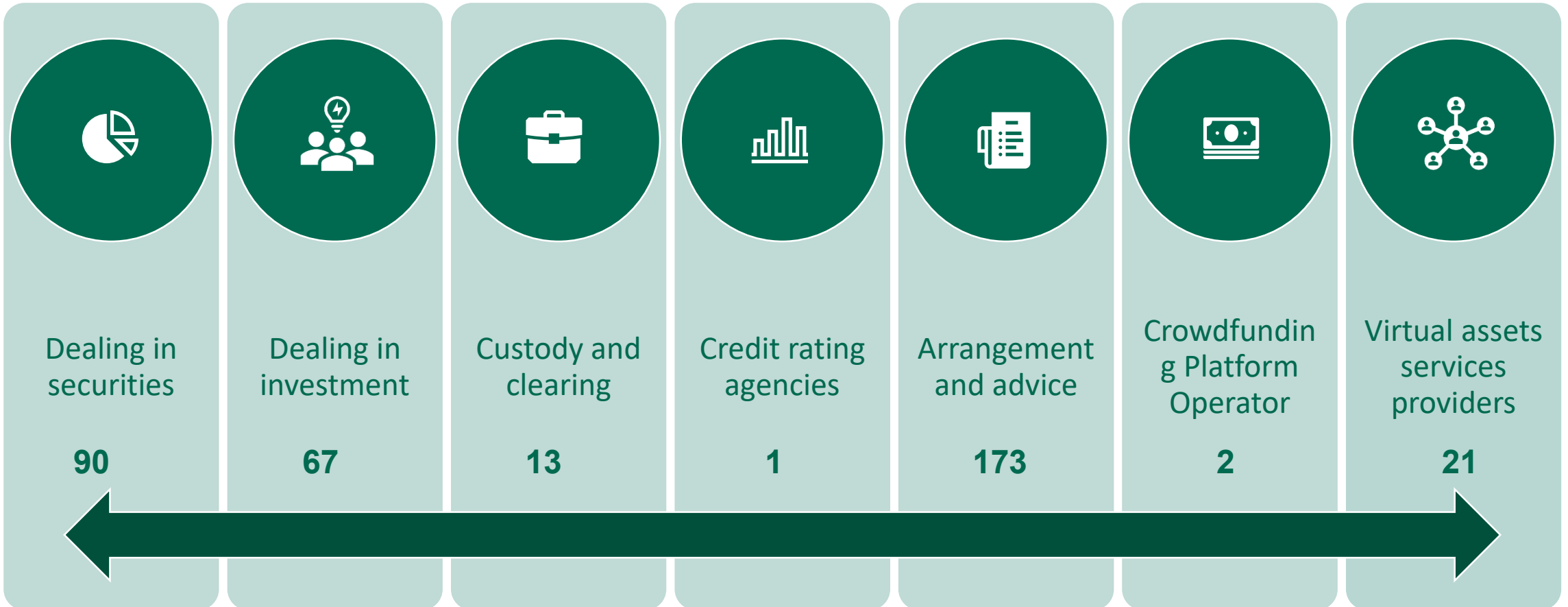
DUBAI FINANCIAL MARKET (DFM)

- EQUITY INSTRUMENT
- DERIVATIVES (FUTURES)
- ETFS

Dubai Gold & Commodities Exchange (DGCX)

- Future
- Spot
- Options

Non Bank Financial Activities



Trading Statistics (as of 31/8/2024)

ADX

- Market cap. AED 2998.58 Billion
- 100 listed companies

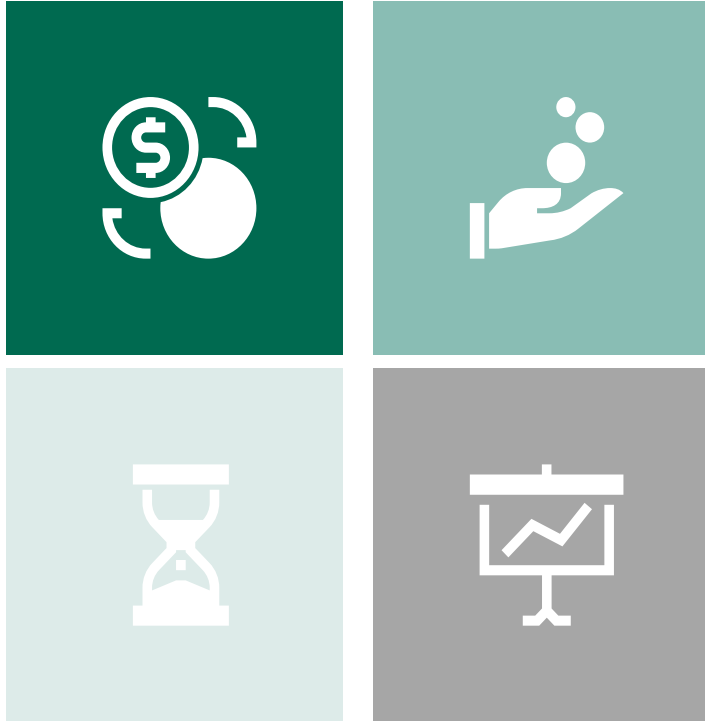
DFM

- Market cap. AED 906.29 Billion
- 66 listed companies.

DGCX (2023)

- Trading value USD 115,3 Billion
- 9076 active accounts

Note: data as of 31 Dec 2023



- In 2023 the SCA developed around 32 regulations (New-amendments) including: (Crowdfunding- Corporate Governance- regulation of Green and Sustainability linked bonds and sukuk- securitization- Investment funds- Virtual assets.....).
- As part of its transformational projects, the SCA introduced a service to license general assembly organizers.
- In 2024 and as part of its efforts to develop and activate Bonds & Sukuk market, the SCA developed two regulations on SPV, and private offering of bonds and sukuk.

Current focus

- Preparing local securities markets to be upgraded as developed market on international indices.
- Conducting self-assessment in preparation for the upcoming FSAP assessment.
- Working on draft regulation for the trading of carbon emission certificates.
- Developing rules for division of listed companies.
- Drafting rules for Security Tokens and Commodity Token Contracts- Robo-Advisor Service .

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Thank You

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